

## NOTIFICATION OF APPOINTMENT OF AUTHORISED REPRESENTATIVE

Through this document, Mr/Ms [name and surnames of Legal Representative], acting in their capacity as Legal Representative of [indicate Account Holder]<sup>1</sup>(hereinafter, the "Account Holder"), and in relation to the account(s) held by it in the Spanish area of the Union Registry, number(s) EU-[complete account number(s)] and number(s) ES-[complete account number(s)], notifies Sociedad de Gestión de los Sistemas de Registro, Compensación y Liquidación de Valores, S.A.U. (hereinafter, "IBERCLEAR"), of the following appointment of an authorised representative:

- i. Mr/Ms [name and surnames], whose signature and personal data appear in Annex I attached to this form.

The following documentation is attached:

- i. Photocopy of the national identity card/residence permit/passport of the Authorised Representative appointed, legalised by a notary public;
- ii. Criminal record certificate of the Authorised Representative appointed, issued by the competent authority of their country of residence<sup>2</sup>; and, if applicable;
- iii. Certificate issued by the competent authorities of the country of residence of the Authorised Representative appointed, verifying their address of permanent residence<sup>3</sup>. This document shall not be necessary if the permanent address figures in the document presented in accordance with point i. above.

<sup>1</sup> Name of the Holder of the Account held in the Spanish Area of the Union Registry.

<sup>2</sup> If the country of residence is Spain, a certificate issued by the Ministry of Justice must be presented.

<sup>3</sup> If the country of residence is Spain, a municipal census certificate is also required.

This document is only valid to appoint a single Authorised Representative. Should more than one Authorised Representative be appointed, a separate form must be completed for each of the Authorised Representatives appointed.

In view of the foregoing, please proceed to register the identity of the designated Authorised Representative, as the person authorised to perform before IBERCLEAR, among others, the following actions on behalf of the Account Holder: transactions, use of codes and decisions regarding emission allowances and other Kyoto units.

In [town/city], on [select date]

\_\_\_\_\_  
[name and surnames of Legal Representative]

**NOTE 1: Every copy of a document presented as documentary evidence in the framework of this process must be certified as true by a notary**

Documents issued outside of Spain must be legalised, **unless community regulations establish otherwise.** <sup>4</sup>

The certification or legalisation date must be within **three months prior to the application date.**

<sup>4</sup> In accordance with Regulation (EU) 2016/1191 of the European Parliament and of the Council, of 6 July 2016, which facilitates the free movement of citizens by simplifying the requirements for the presentation of certain public documents in the European Union, and amends Regulation (EU) No.1024/2012, certain public documents and their certified copies are exempt from the legalisation requirement, together with their certified translation, when said documents have been issued by an authority of the European Economic Area.

This document is only valid to appoint a single Authorised Representative. Should more than one Authorised Representative be appointed, a separate form must be completed for each of the Authorised Representatives appointed.

## NOTE 2: INFORMATION ON PERSONAL DATA

### **Accounts in the Spanish Area of the Consolidated System of European Registries (CSEUR)**

The Ministry for Ecological Transition and Demographic Challenge maintains its commitment to comply with the legislation in force in personal data processing and information security, to ensure that the data provided is collected and processed in accordance with the Substantive Law 3/2018, of December 5, on Protection of Personal Data and guarantee of digital rights and Regulation (EU) 2016/679 (GDPR). It is for this reason that we provide **information on the data protection policy** applied to the processing of personal data deriving from requests to open and maintain accounts:

- 1. Data controller:** Ministry for Ecological Transition and Demographic Challenge. Spanish Office for Climate Change: [buzon-oecc@miteco.es](mailto:buzon-oecc@miteco.es)  
  
Data Protection Officer: [BZN-Miteco@miteco.es](mailto:BZN-Miteco@miteco.es)
- 2. Data processor:** Sociedad de Gestión de los Sistemas de Registro, Compensación y Liquidación de Valores, S.A.U. (IBERCLEAR)
- 3. Purpose of processing:** The personal data incorporated will be used exclusively to manage accounts in the Spanish Area of the Consolidated System of European Registries (CSEUR), and they will be kept while the legal obligation to conserve them remains (Law 16/1985, of 25 June on Spanish Historic Heritage).
- 4. Legal basis for processing:** to comply with a mission carried out in the public interest in the exercise of public powers conferred to the Data controller (Law 1/2005, 9 March, regulating the greenhouse gas emission allowances trading system).
- 5. Data recipients:** neither data assignments nor international data transfers are provided for.
- 6. Data processing rights:** In accordance with the provisions of articles 13 to 18 of Substantive Law 3/2018, of December 5, Protection of Personal Data and guarantee of digital rights and articles 15 to 22 of General Regulation (EU) 2016/679 of Data Protection. You may exercise your rights of access, rectification, deletion and portability of your data, limitation of treatment, opposition and no longer be subject to automated individual decisions, when appropriate, before the Ministry for Ecological Transition and the Demographic Challenge, through its electronic Office (<https://sede.miteco.gob.es> ) Likewise, if you consider your rights violated, you can initiate a claim for protection with the Spanish Data Protection Agency (<https://sedeagpd.gob.es/>)